



Oct. 8, 2021



Bio-integrated Materials Science (Online Lectures)

Metals and Ceramics
Lecture 2

Prof. Jung Heon Lee

Structures of Metals & Ceramics

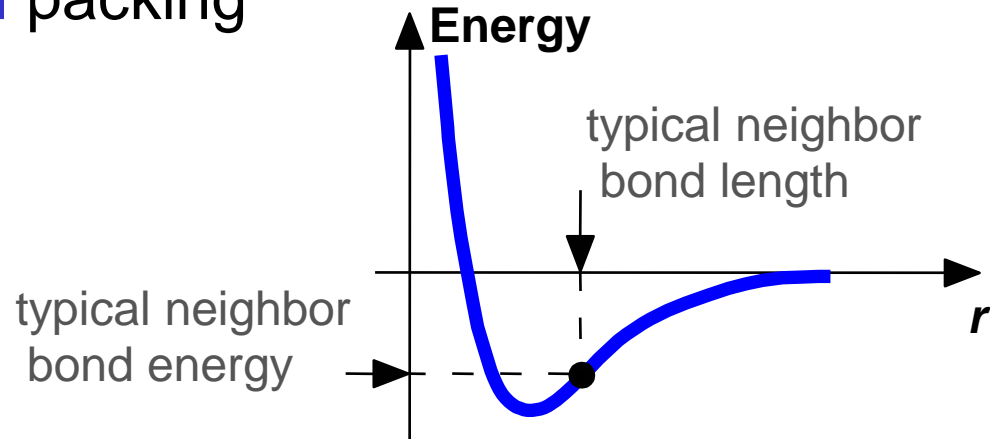
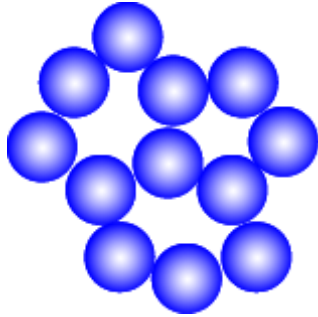
ISSUES TO ADDRESS...

- What is the difference in atomic arrangement between crystalline and noncrystalline solids?
- What features of a metal's/ceramic's atomic structure determine its density?
- How do the crystal structures of ceramic materials differ from those for metals?
- Under what circumstances does a material property vary with the measurement direction?

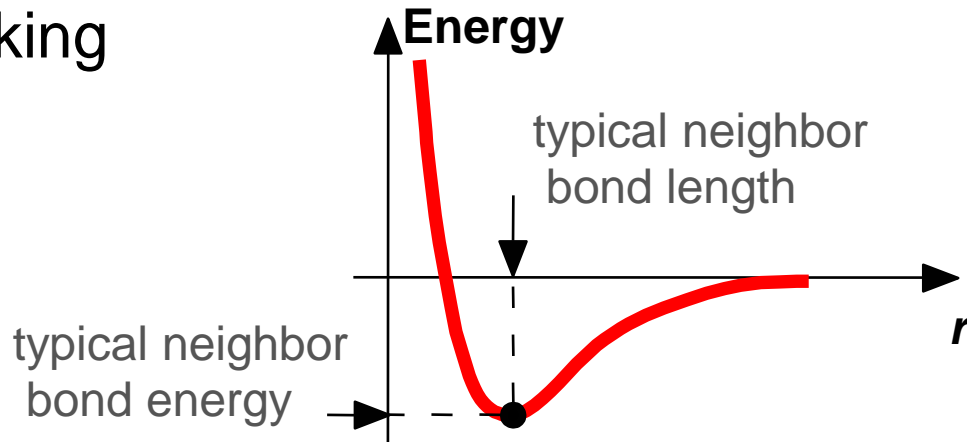
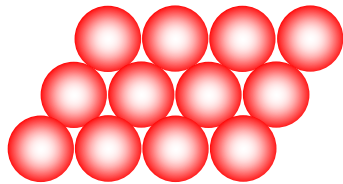


Energy and Packing

- Non dense, **random** packing



- Dense, **ordered** packing

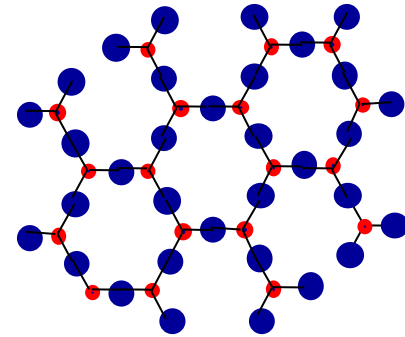


Dense, ordered packed structures tend to have energies.

Materials and Packing

materials...

- atoms pack in periodic, 3D arrays
- typical of:
 - metals
 - many ceramics
 - some polymers



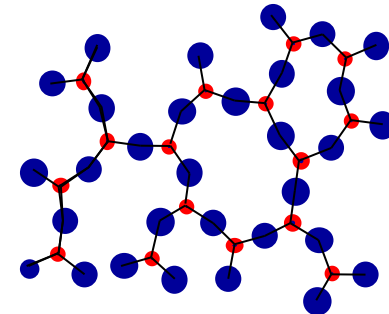
crystalline SiO₂

Adapted from Fig. 3.41(a),
Callister & Rethwisch 4e.

• **Si** • **Oxygen**

Noncrystalline materials...

- atoms have no periodic packing
- occurs for:
 - complex structures
 - rapid cooling



noncrystalline SiO₂

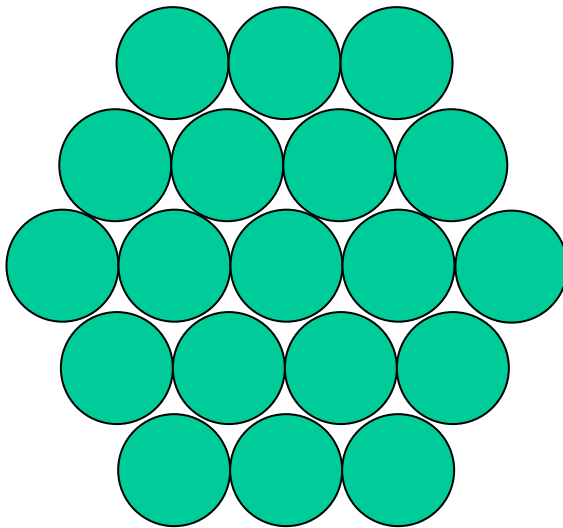
Adapted from Fig. 3.41(b),
Callister & Rethwisch 4e.

= Noncrystalline

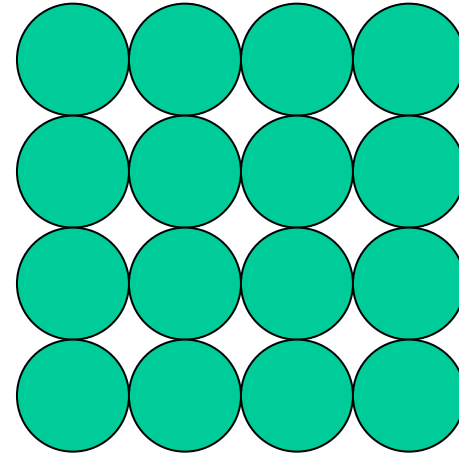
Metallic Crystal Structures

- How can we stack metal atoms to minimize empty space?

2-dimensions



vs.



Now stack these 2-D layers to make 3-D structures

Metallic Crystal Structures

- Tend to be densely packed.
- Reasons for dense packing:
 - Typically, only one element is present, so all atomic radii are the
 - Metallic bonding is not directional.
 - Nearest neighbor distances tend to be in order to lower bond energy.
 - Electron cloud shields cores from each other
- Metals have the simplest crystal structures.

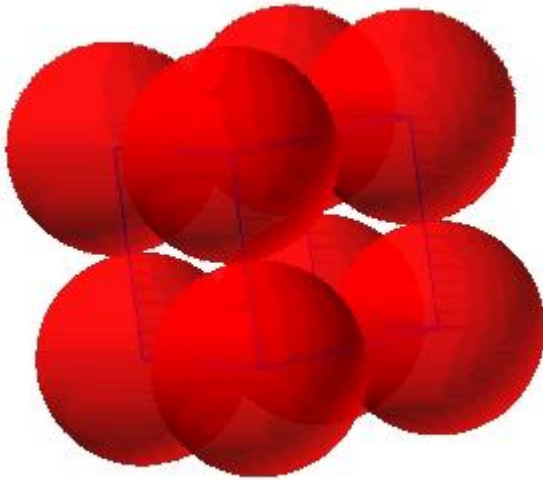
We will examine three such structures...



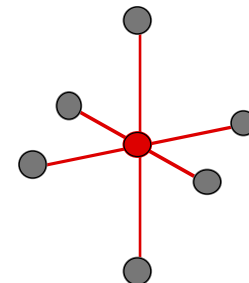
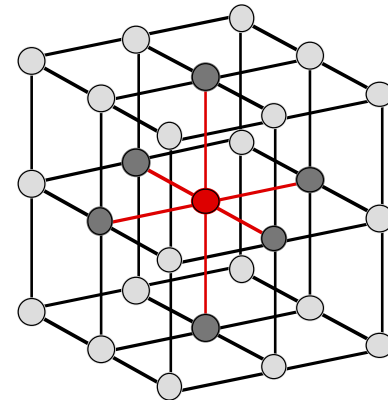
Simple Cubic Structure (SC)

- Rare due to low packing density (only Po has this structure)
- Close-packed directions are cube .

- Coordination # =
(# nearest neighbors)



Click once on image to start animation
(Courtesy P.M. Anderson)

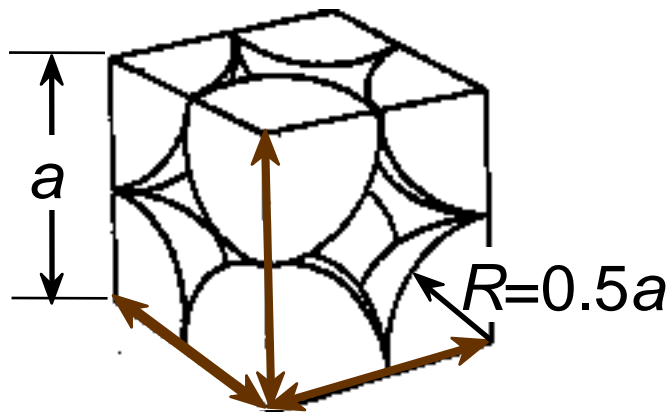


Atomic Packing Factor (APF)

$$\text{APF} = \frac{\text{Volume of atoms in unit cell}^*}{\text{Volume of unit cell}}$$

*assume hard spheres

- APF for a simple cubic structure = 0.52



close-packed directions

contains $8 \times 1/8 =$

1 atom/unit cell

Adapted from Fig. 3.43,
Callister & Rethwisch 4e.

$$\text{APF} = \frac{\frac{\text{atoms}}{\text{unit cell}} \times \frac{\text{volume}}{\text{atom}}}{a^3}$$

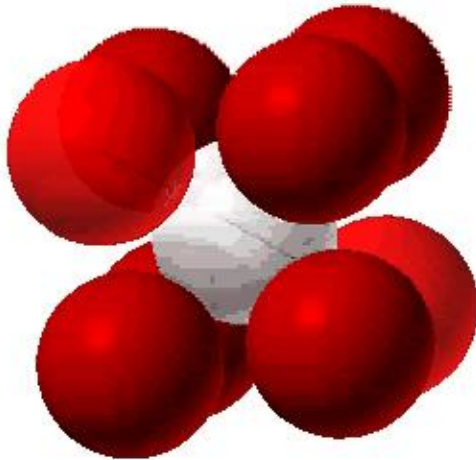
The diagram shows a large rectangle representing the unit cell volume, with a smaller rectangle inside representing the volume of one atom. The unit cell volume is labeled a^3 and the volume of one atom is labeled $\frac{\text{volume}}{\text{atom}}$. The number of atoms per unit cell is indicated by a green box labeled $\frac{\text{atoms}}{\text{unit cell}}$.

Body Centered Cubic Structure (BCC)

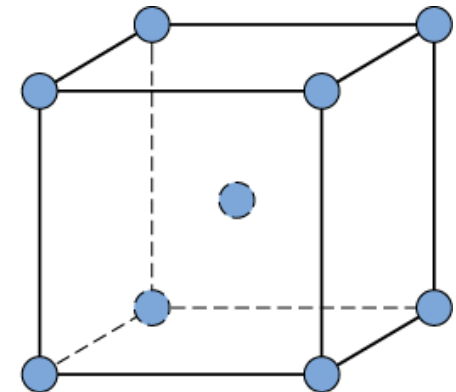
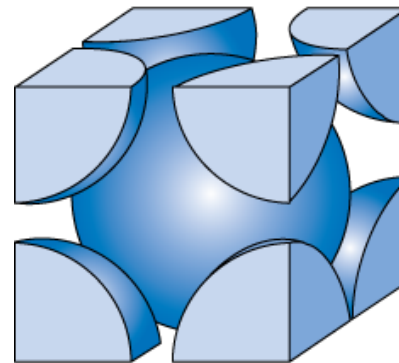
- Atoms touch each other along cube .
--Note: All atoms are identical; the center atom is shaded differently only for ease of viewing.

ex: Cr, W, Fe (α), Tantalum, Molybdenum

- Coordination # =



Click once on image to start animation
(Courtesy P.M. Anderson)



Adapted from Fig. 3.2,
Callister & Rethwisch 4e.

2 atoms/unit cell: center + corners x

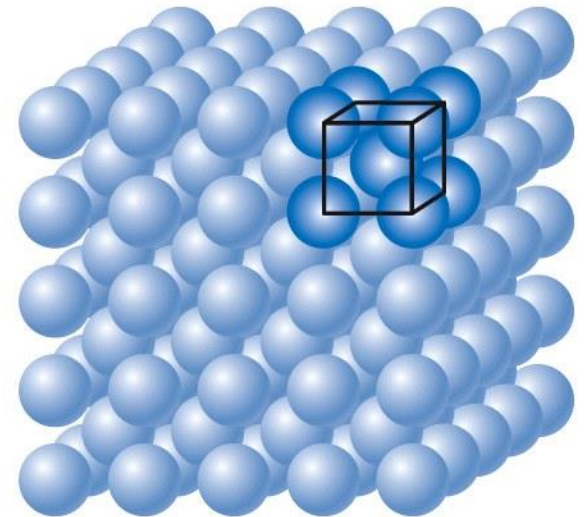
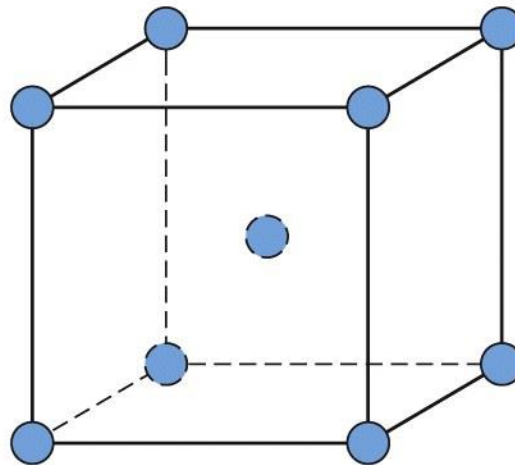
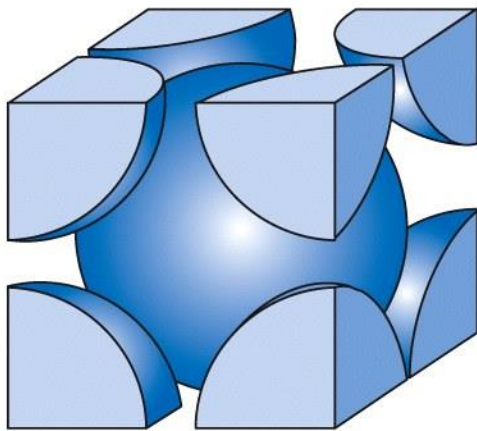
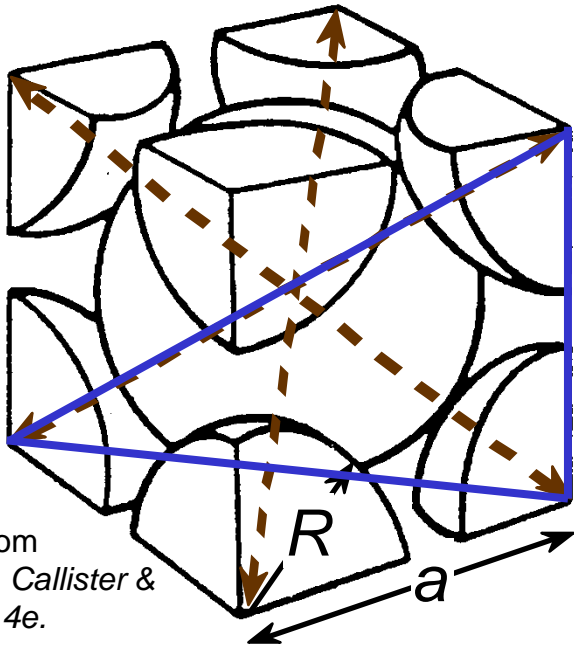


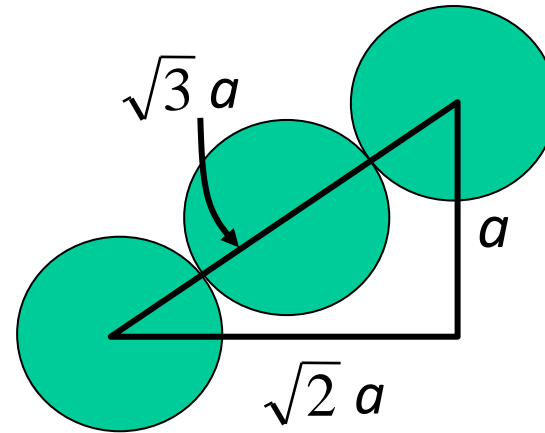
Figure 3.2
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Atomic Packing Factor: BCC

- APF for a body-centered cubic structure = 0.68



Adapted from
Fig. 3.2(a), Callister &
Rethwisch 4e.



Close-packed directions:
length =

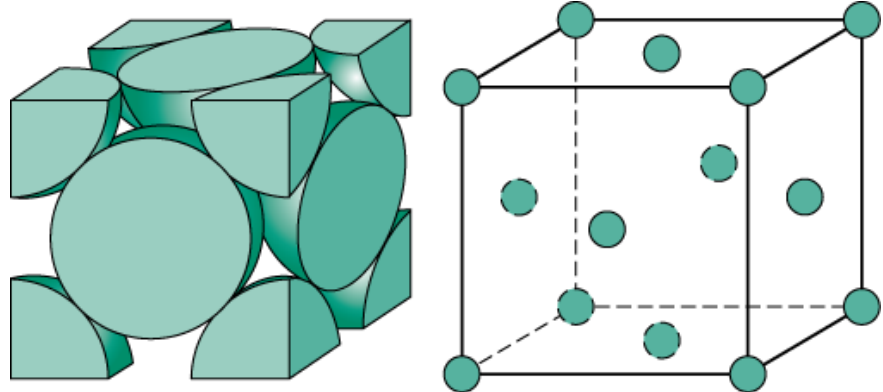
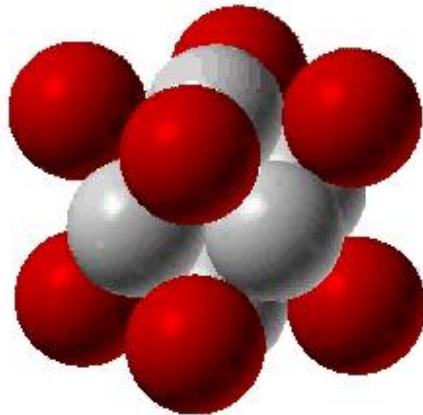
$$\text{APF} = \frac{\frac{\text{atoms}}{\text{unit cell}} \times \frac{\text{volume}}{\text{atom}}}{\frac{\text{volume}}{\text{unit cell}}}$$

Face Centered Cubic Structure (FCC)

- Atoms touch each other along
- Note: All atoms are identical; the face-centered atoms are shaded differently only for ease of viewing.

ex: Al, Cu, Au, Pb, Ni, Pt, Ag

- Coordination # =



Adapted from Fig. 3.1, *Callister & Rethwisch 4e*.

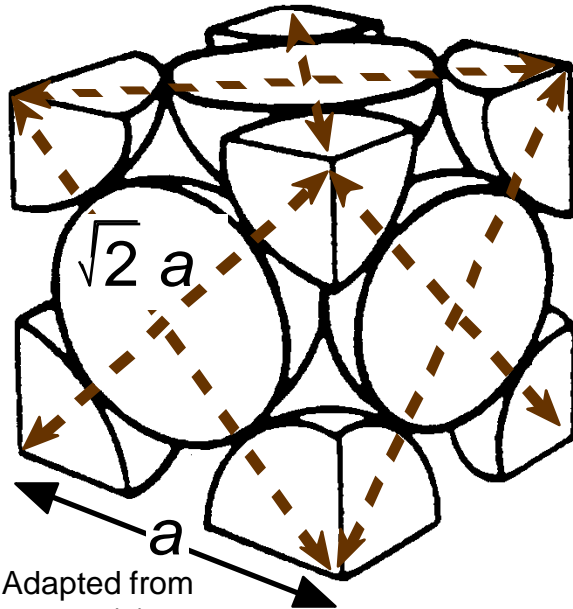
Click once on image to start animation

(Courtesy P.M. Anderson)

atoms/unit cell: face x + corners x

Atomic Packing Factor: FCC

- APF for a face-centered cubic structure = 0.74
maximum achievable APF



Adapted from
Fig. 3.1(a),
Callister &
Rethwisch 4e.

Close-packed directions:
length =

Unit cell contains:
 $6 \times 1/2 + 8 \times 1/8$
 $= 4 \text{ atoms/unit cell}$

atoms
unit cell

APF =



volume
atom

a^3

volume
unit cell

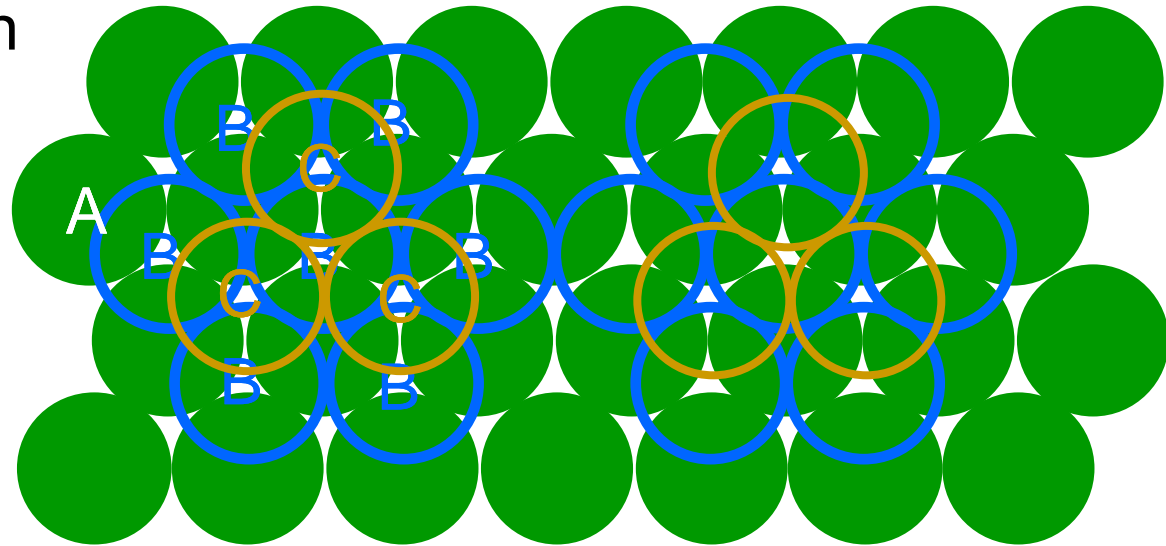
FCC Stacking Sequence

- ABCABC... Stacking Sequence
- 2D Projection

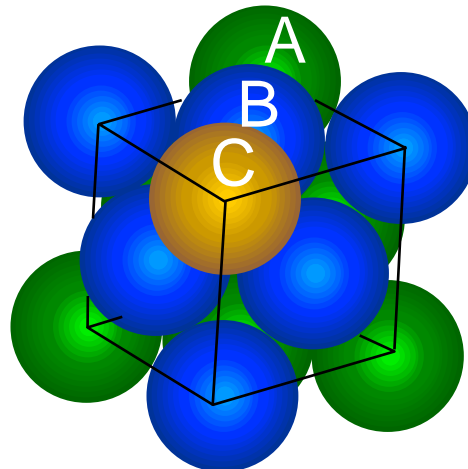
A sites

B sites

C sites

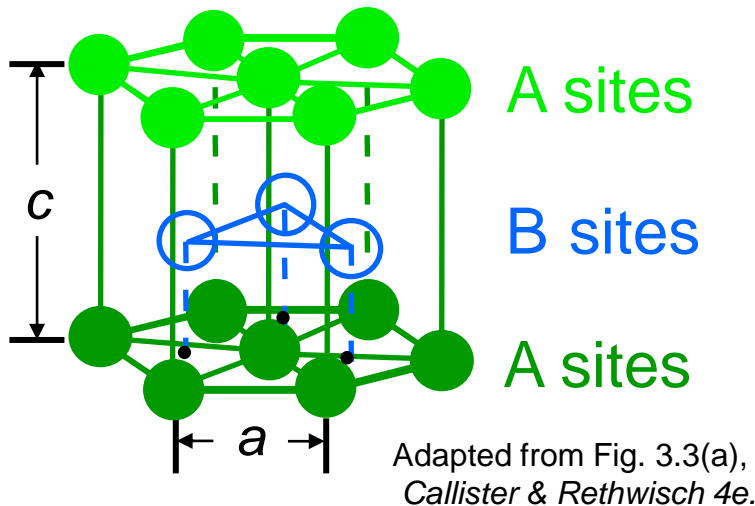


- FCC Unit Cell



Hexagonal Close-Packed Structure (HCP)

- ABAB... Stacking Sequence
- 3D Projection



- 2D Projection



- Coordination # = 12

- APF = 0.74

- $c/a = 1.633$

6 atoms/unit cell

ex: Cd, Mg, Ti, Zn

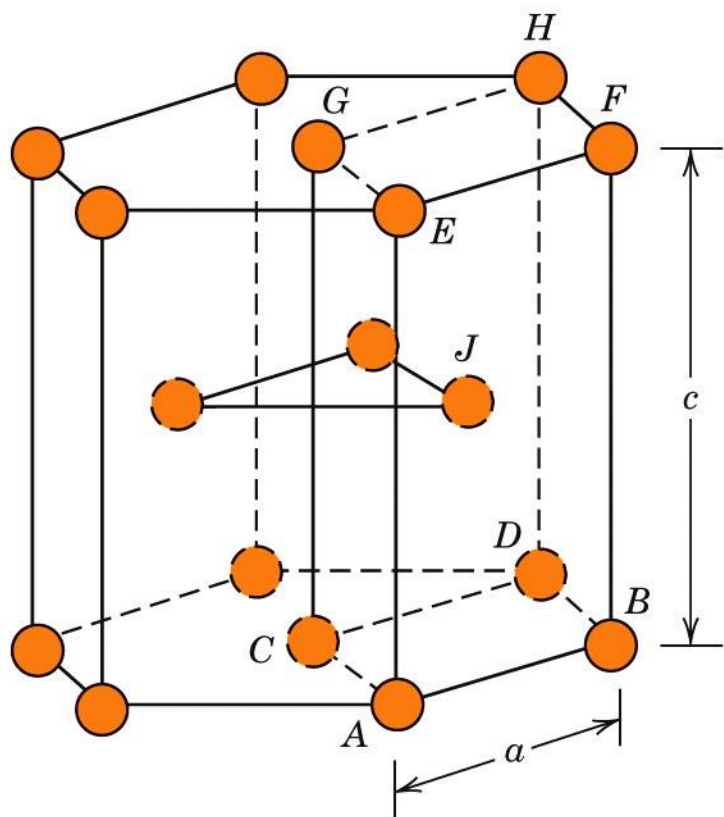
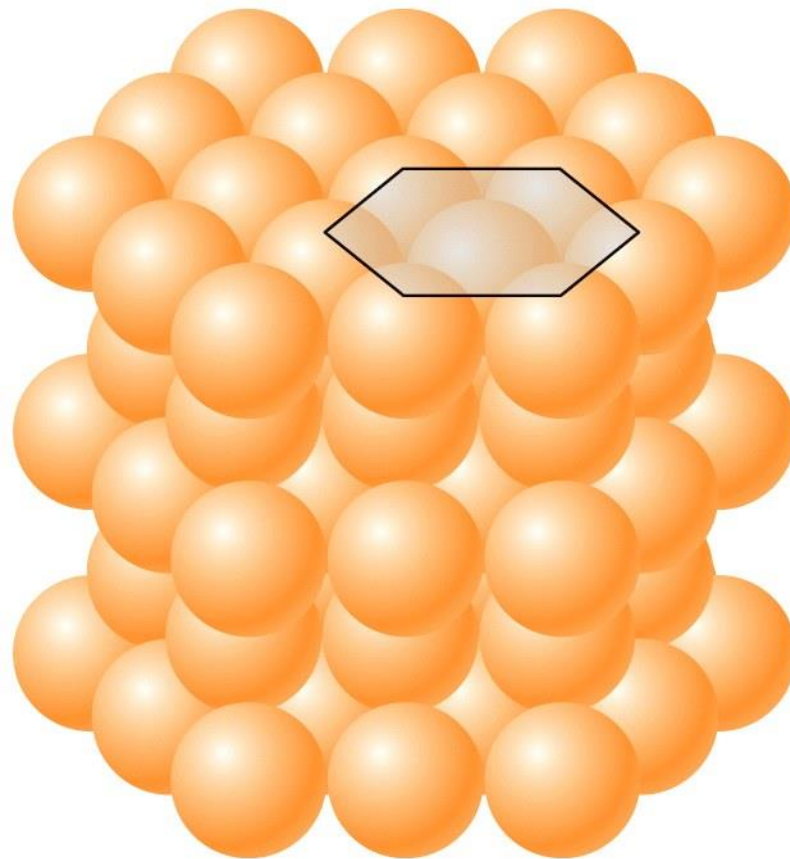


Figure 3.3
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Theoretical Density, ρ

$$\text{Density} = \rho = \frac{\text{Mass of Atoms in Unit Cell}}{\text{Total Volume of Unit Cell}}$$

$$\rho = \frac{\boxed{}}{\boxed{}}$$

where

n = number of atoms/unit cell

A = atomic weight

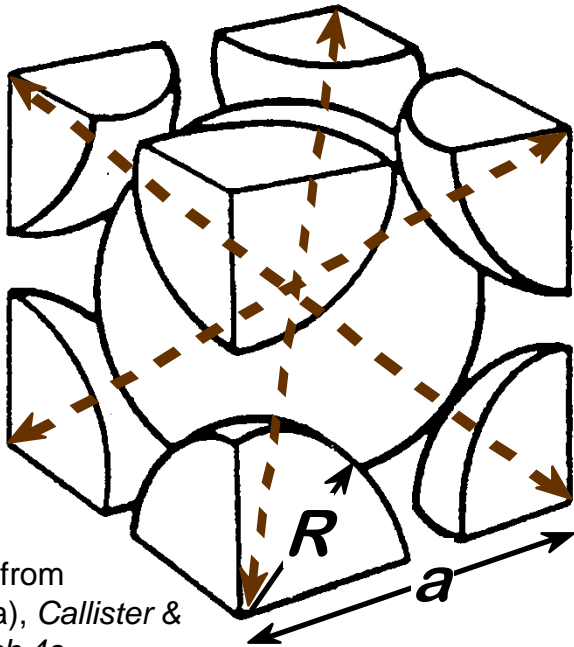
V_C = Volume of unit cell = a^3 for cubic

N_A = Avogadro's number

= 6.022×10^{23} atoms/mol



Theoretical Density, ρ



Adapted from
Fig. 3.2(a), Callister &
Rethwisch 4e.

- Ex: Cr (BCC)

$$A = 52.00 \text{ g/mol}$$

$$R = 0.125 \text{ nm}$$

$$n = 2 \text{ atoms/unit cell}$$

$$a = 4R/\sqrt{3} = 0.2887 \text{ nm}$$

atoms
unit cell

2 52.00

g
mol

$\rho =$

volume
unit cell

a^3 6.022×10^{23}

atoms
mol

$$\rho_{\text{theoretical}} = 7.18 \text{ g/cm}^3$$

$$\rho_{\text{actual}} = 7.19 \text{ g/cm}^3$$

Table 3.1**Atomic Radii and
Crystal Structures
for 16 Metals**

<i>Metal</i>	<i>Crystal Structure^a</i>	<i>Atomic Radius^b (nm)</i>	<i>Metal</i>	<i>Crystal Structure</i>	<i>Atomic Radius (nm)</i>
Aluminum	FCC	0.1431	Molybdenum	BCC	0.1363
Cadmium	HCP	0.1490	Nickel	FCC	0.1246
Chromium	BCC	0.1249	Platinum	FCC	0.1387
Cobalt	HCP	0.1253	Silver	FCC	0.1445
Copper	FCC	0.1278	Tantalum	BCC	0.1430
Gold	FCC	0.1442	Titanium (α)	HCP	0.1445
Iron (α)	BCC	0.1241	Tungsten	BCC	0.1371
Lead	FCC	0.1750	Zinc	HCP	0.1332

^aFCC = face-centered cubic; HCP = hexagonal close-packed; BCC = body-centered cubic.

^bA nanometer (nm) equals 10^{-9} m; to convert from nanometers to angstrom units (\AA), multiply the nanometer value by 10.

Table 3.1

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Atomic Bonding in Ceramics

- Bonding:
 - Can be ionic and/or covalent in character.
 - % ionic character increases with difference in of atoms.
- Degree of ionic character may be large or small:

IA																		0
H																		He
2.1	IIA											IIIA	IVA	VA	VIA	VIIA		-
Li	Be											B	C	N	O	F	Ne	-
1.0	1.5											2.0	2.5	3.0	3.5	4.0	-	-
Na	Mg											Al	Si	P	S	Cl	Ar	-
0.9	1.2											1.5	1.8	2.1	2.5	3.0	-	-
		IIIB	IVB	VB	VIB	VIIIB	VIII				IB	IIB						
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr	-
0.8	1.0	1.3	1.5	1.6	1.6	1.5	1.8	1.8	1.8	1.9	1.6	1.6	1.8	2.0	2.4	2.8	-	-
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	-
0.8	1.0	1.2	1.4	1.6	1.8	1.9	2.2	2.2	2.2	1.9	1.7	1.7	1.8	1.9	2.1	2.5	-	-
Cs	Ba	La-Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	-
0.7	0.9	1.1-1.2	1.3	1.5	1.7	1.9	2.2	2.2	2.2	2.4	1.9	1.8	1.8	1.9	2.0	2.2	-	-
Fr	Ra	Ac-No																
0.7	0.9	1.1-1.7																

CaF₂: large


SiC: small

Adapted from Fig. 2.7, Callister & Rethwisch 4e. (Fig. 2.7 is adapted from Linus Pauling, *The Nature of the Chemical Bond*, 3rd edition. Copyright 1939 and 1940, 3rd edition copyright © 1960 by Cornell University.



Ceramic Crystal Structures

Oxide structures

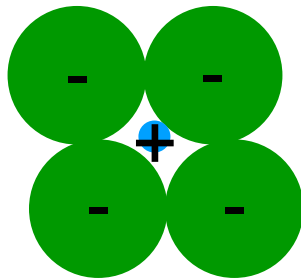
- oxygen anions larger than metal cations
- close packed oxygen in a lattice (usually FCC)
-  fit into interstitial sites among oxygen ions



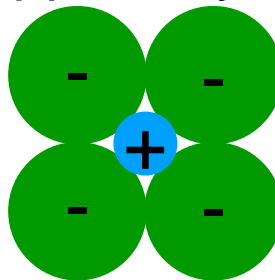
Factors that Determine Crystal Structure

1. Relative of ions – Formation of stable structures:

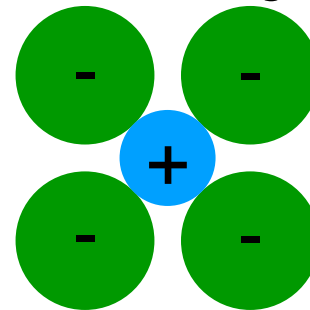
--maximize the # of oppositely charged ion neighbors.



unstable



stable



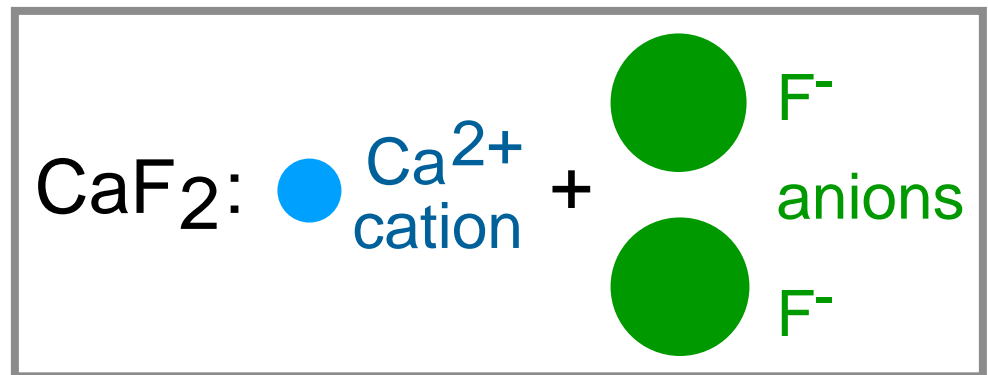
stable

Adapted from Fig. 3.4,
Callister & Rethwisch 4e.

2. Maintenance of Neutrality :

--Net charge in ceramic should be zero.

--Reflected in chemical formula:



m, p values to achieve charge neutrality

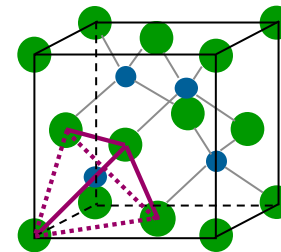
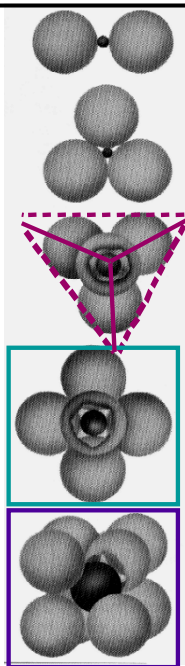
Coordination Number and Ionic Radii

- Coordination Number increases with 

To form a stable structure, how many anions can surround around a cation?

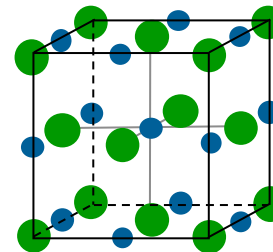
$\frac{r_{\text{cation}}}{r_{\text{anion}}}$	Coord. Number	
< 0.155	2	linear
$0.155 - 0.225$	3	triangular
$0.225 - 0.414$	4	tetrahedral
$0.414 - 0.732$	6	octahedral
$0.732 - 1.0$	8	cubic

Adapted from Table 3.3,
Callister & Rethwisch 4e.



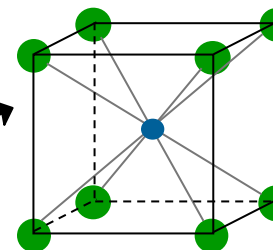
ZnS
(zinc blende)

Adapted from Fig. 3.7,
Callister & Rethwisch 4e.



NaCl
(sodium chloride)

Adapted from Fig. 3.5,
Callister & Rethwisch 4e.

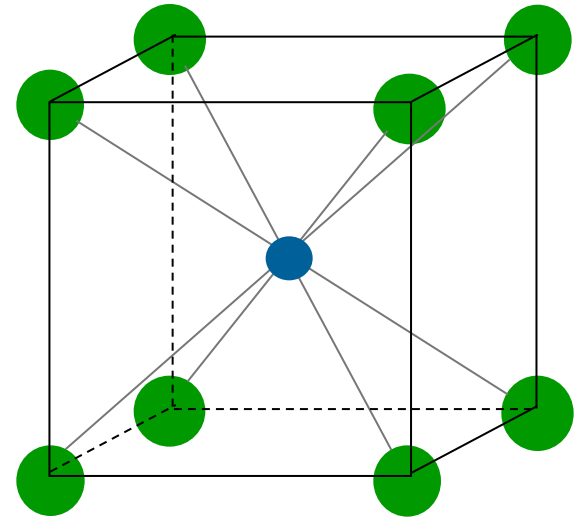


CsCl
(cesium chloride)

Adapted from Fig. 3.6,
Callister & Rethwisch 4e.

Computation of Minimum Cation-Anion Radius Ratio

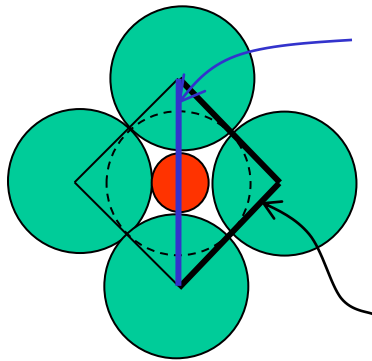
- Determine minimum $r_{\text{cation}}/r_{\text{anion}}$ for a cubic site (C.N. = 8)



Adapted from Fig. 3.6, *Callister & Rethwisch 4e*.

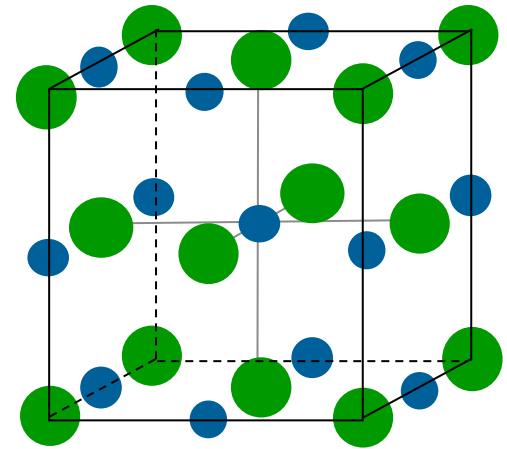
Computation of Minimum Cation-Anion Radius Ratio

- Determine minimum $r_{\text{cation}}/r_{\text{anion}}$ for an octahedral site (C.N. = 6)



$$2r_{\text{anion}} + 2r_{\text{cation}} = 2\sqrt{2}r_{\text{anion}}$$

$$r_{\text{anion}} + r_{\text{cation}} = \sqrt{2}r_{\text{anion}} \quad r_{\text{cation}} = (\sqrt{2} - 1)r_{\text{anion}}$$



$\frac{r_{\text{cation}}}{r_{\text{anion}}} = \boxed{} = \boxed{}$
--

EXAMPLE PROBLEM 3.4

Computation of Minimum Cation-to-Anion Radius Ratio for a Coordination Number of 3

Show that the minimum cation-to-anion radius ratio for the coordination number 3 is 0.155.

Solution

For this coordination, the small cation is surrounded by three anions to form an equilateral triangle as shown here, triangle ABC ; the centers of all four ions are coplanar.

This boils down to a relatively simple plane trigonometry problem. Consideration of the right triangle APO makes it clear that the side lengths are related to the anion and cation radii r_A and r_C as

$$\overline{AP} = r_A$$

and

$$\overline{AO} = r_A + r_C$$

Furthermore, the side length ratio $\overline{AP}/\overline{AO}$ is a function of the angle α as

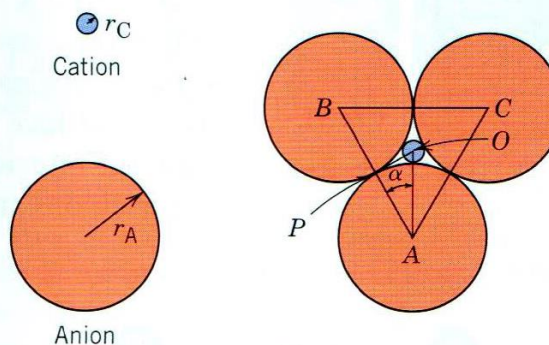
$$\frac{\overline{AP}}{\overline{AO}} = \cos \alpha$$

The magnitude of α is 30° because line \overline{AO} bisects the 60° angle BAC . Thus,

$$\frac{\overline{AP}}{\overline{AO}} = \frac{r_A}{r_A + r_C} = \cos 30^\circ = \frac{\sqrt{3}}{2}$$

Solving for the cation–anion radius ratio, we have

$$\frac{r_C}{r_A} = \frac{1 - \sqrt{3}/2}{\sqrt{3}/2} = 0.155$$



Bond Hybridization

Bond Hybridization is possible when there is significant covalent bonding

- hybrid electron orbitals form
- For example for SiC
 - $X_{\text{Si}} = 1.8$ and $X_{\text{C}} = 2.5$

$$\% \text{ ionic character} = 100 \{1 - \exp[-0.25(\text{ })^2]\} = 11.5\%$$

- ~ 89% covalent bonding
- Both Si and C prefer sp^3 hybridization
- Therefore, for SiC, Si atoms occupy tetrahedral sites



Table 3.2

**Percent Ionic
Character of the
Interatomic Bonds
for Several Ceramic
Materials**

<i>Material</i>	<i>Percent Ionic Character</i>
CaF ₂	89
MgO	73
NaCl	67
Al ₂ O ₃	63
SiO ₂	51
Si ₃ N ₄	30
ZnS	18
SiC	12

Table 3.2
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Example Problem: Predicting the Crystal Structure of FeO

- On the basis of ionic radii, what crystal structure would you predict for FeO?

Cation Ionic radius (nm)

Al³⁺ 0.053

Fe²⁺ 0.077

Fe³⁺ 0.069

Ca²⁺ 0.100

Anion

O²⁻ 0.140

Cl⁻ 0.181

F⁻ 0.133

- Answer:

$$\frac{r_{\text{cation}}}{r_{\text{anion}}} = \boxed{} \\ = 0.550$$

based on this ratio,

-- coord # = 6 because

$$0.414 < \boxed{} < 0.732$$

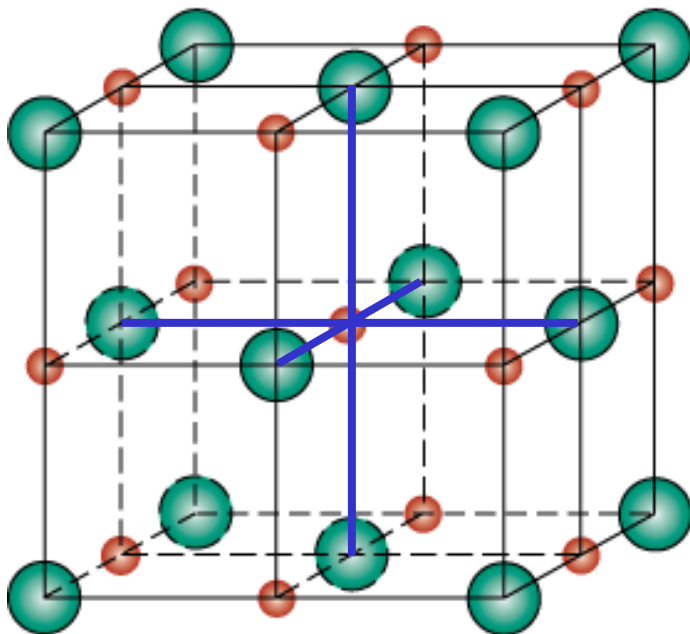
-- crystal structure is NaCl

Data from Table 3.4,
Callister & Rethwisch 4e.



Rock Salt Structure

Same concepts can be applied to ionic solids in general.
Example: NaCl (rock salt) structure



● Na⁺ $r_{\text{Na}} = 0.102 \text{ nm}$

● Cl⁻ $r_{\text{Cl}} = 0.181 \text{ nm}$

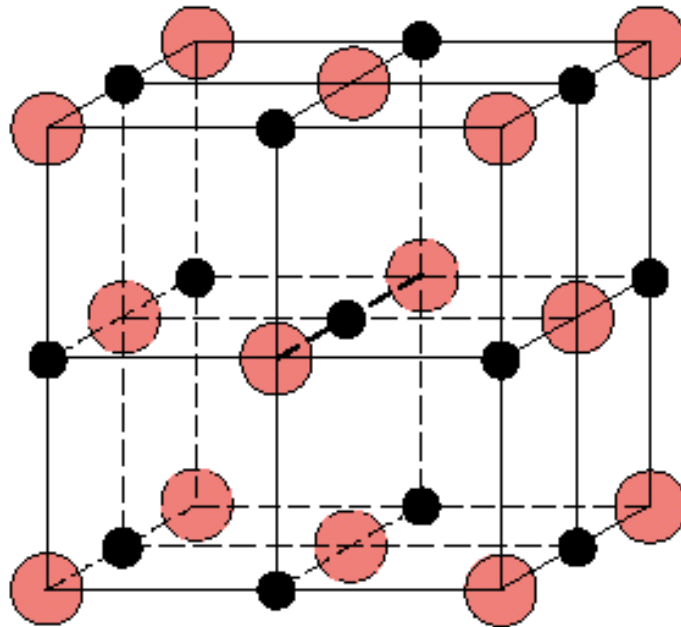
$$r_{\text{Na}}/r_{\text{Cl}} = 0.564$$

∴ cations (Na⁺) prefer octahedral sites

Adapted from Fig. 3.5,
Callister & Rethwisch 4e.

MgO and FeO

MgO and FeO also have the NaCl structure



$$r_{Mg}/r_O = 0.514$$

\therefore cations prefer octahedral sites

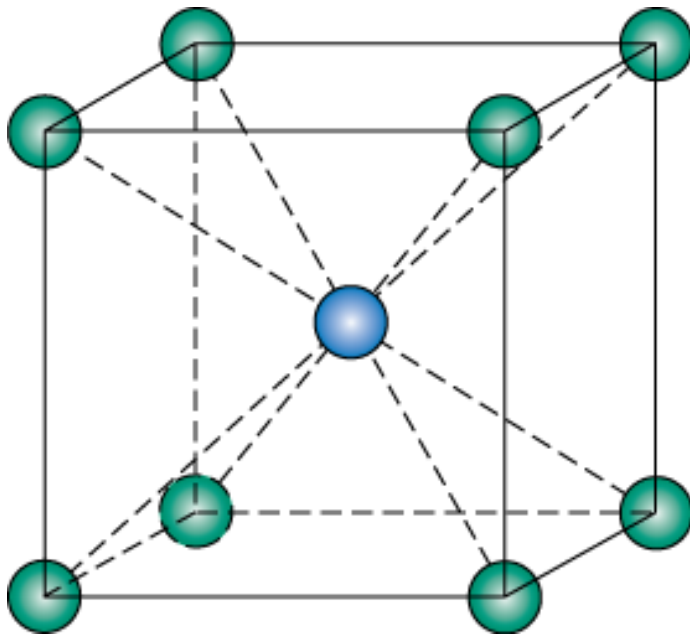
Adapted from Fig. 3.5,
Callister & Rethwisch 4e.

So each Mg^{2+} (or Fe^{2+}) has 6 neighbor oxygen atoms

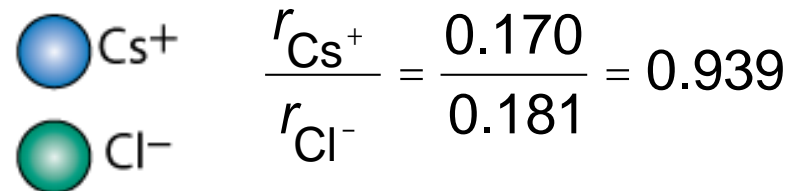
AX Crystal Structures

AX-Type Crystal Structures include NaCl, CsCl, and zinc blende

Cesium Chloride structure:



Adapted from Fig. 3.6,
Callister & Rethwisch 4e.

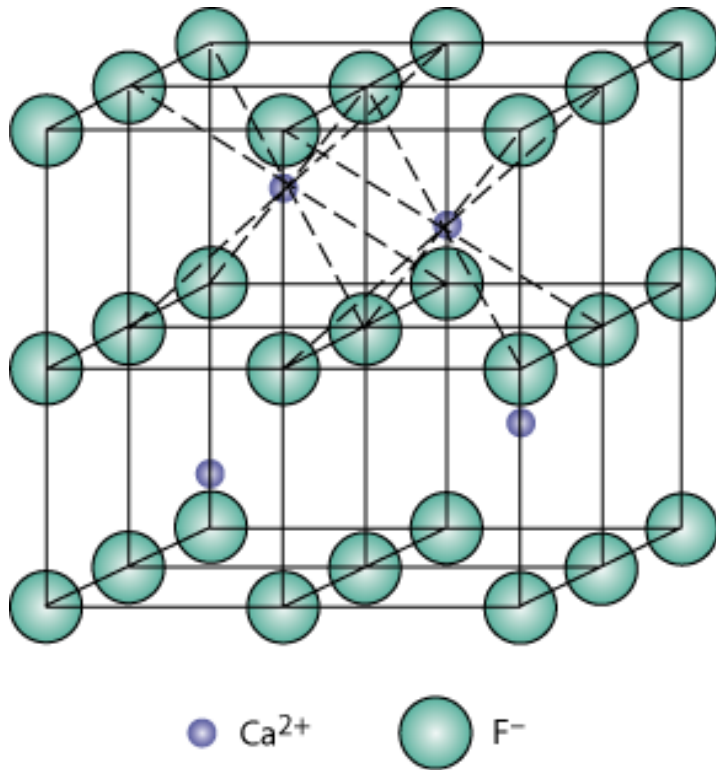


\therefore Since $0.732 < 0.939 < 1.0$,
cubic sites preferred

So each Cs^+ has 8 neighbor Cl^-

AX₂ Crystal Structures

Fluorite structure



Adapted from Fig. 3.8,
Callister & Rethwisch 4e.

- Calcium Fluorite (CaF₂)
- Cations in cubic sites
- UO₂, ThO₂, ZrO₂, CeO₂
- Antifluorite structure – positions of cations and anions reversed

ABX₃ Crystal Structures

- Perovskite structure

Ex: complex oxide
 BaTiO_3

Adapted from Fig. 3.9,
Callister & Rethwisch 4e.

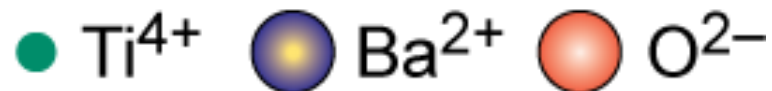
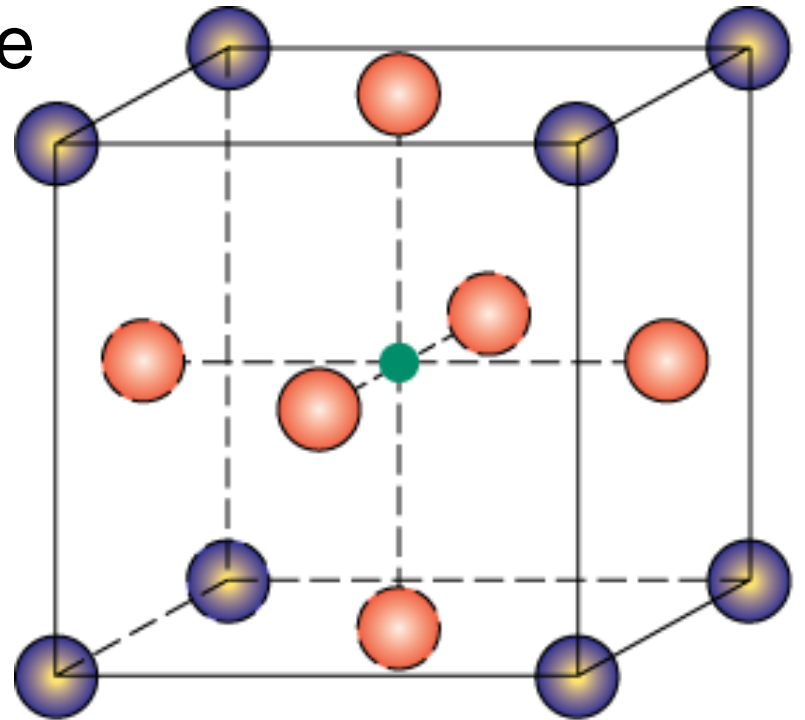


Table 3.5 Summary of Some Common Ceramic Crystal Structures

<i>Structure Name</i>	<i>Structure Type</i>	<i>Anion Packing</i>	<i>Coordination Number</i>		<i>Examples</i>
			<i>Cation</i>	<i>Anion</i>	
Rock salt (sodium chloride)	AX	FCC	6	6	NaCl, MgO, FeO
Cesium chloride	AX	Simple cubic	8	8	CsCl
Zinc blende (sphalerite)	AX	FCC	4	4	ZnS, SiC
Fluorite	AX ₂	Simple cubic	8	4	CaF ₂ , UO ₂ , ThO ₂
Perovskite	ABX ₃	FCC	12 (A) 6 (B)	6	BaTiO ₃ , SrZrO ₃ , SrSnO ₃
Spinel	AB ₂ X ₄	FCC	4 (A) 6 (B)	4	MgAl ₂ O ₄ , FeAl ₂ O ₄

Source: W. D. Kingery, H. K. Bowen, and D. R. Uhlmann, *Introduction to Ceramics*, 2nd edition. Copyright © 1976 by John Wiley & Sons, New York. Reprinted by permission of John Wiley & Sons, Inc.

Table 3.5
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Density Computations for Ceramics

Number of formula units/unit cell

$$\rho = \frac{n_c(SA_C + SA_A)}{V_C N_A}$$

Avogadro's number

Volume of unit cell

SA_C = sum of atomic weights of all cations in formula unit

SA_A = sum of atomic weights of all anions in formula unit



Densities of Material Classes

In general

$$\rho_{\text{Metals/Alloys}} > \rho_{\text{Graphite/Ceramics/Semicond}} > \rho_{\text{Polymers}}$$

Why?

Metals have...

- close-packing (metallic bonding)
- often large atomic masses

Ceramics have...

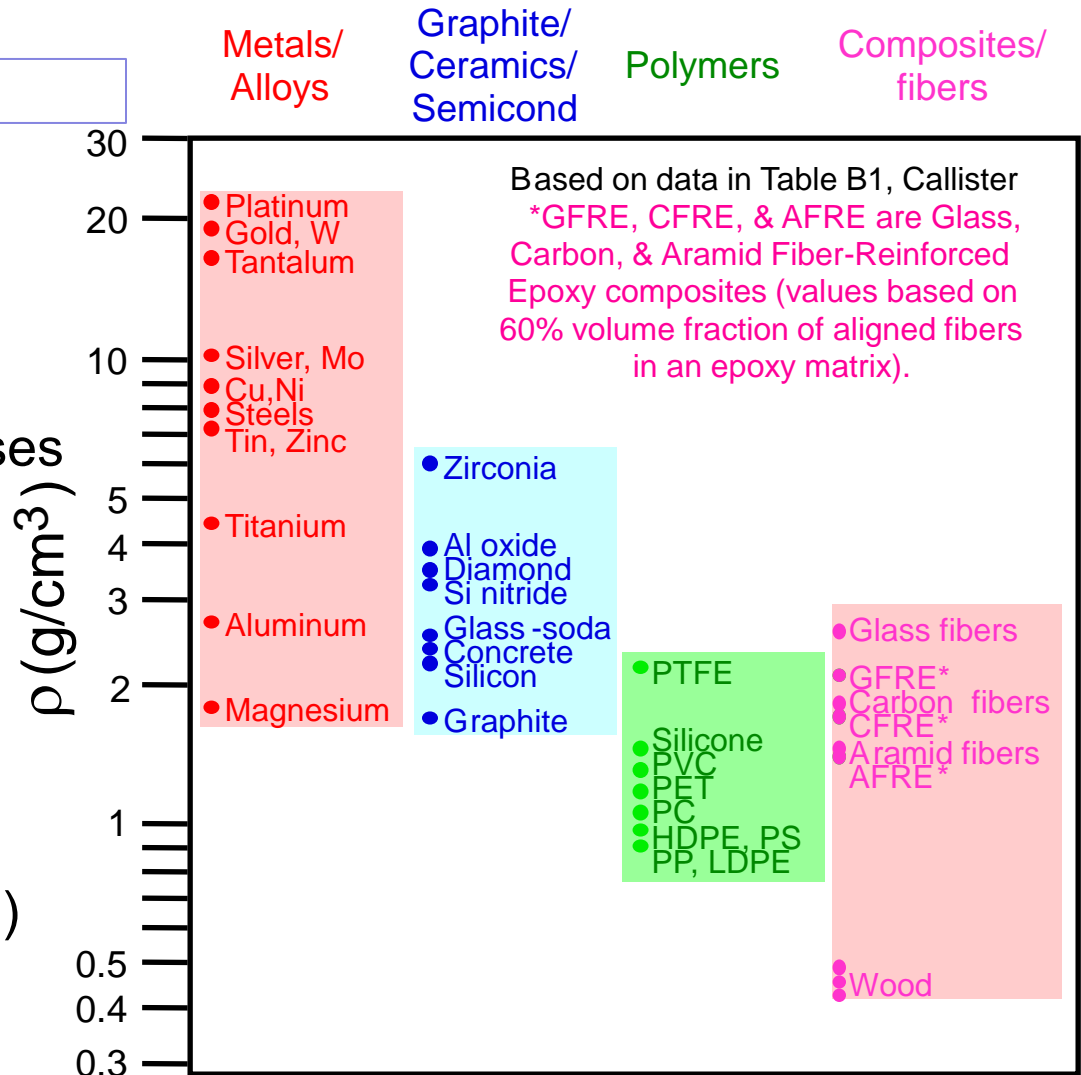
- less dense packing
- often lighter elements

Polymers have...

- low packing density (often amorphous)
- lighter elements (C,H,O)

Composites have...

- intermediate values



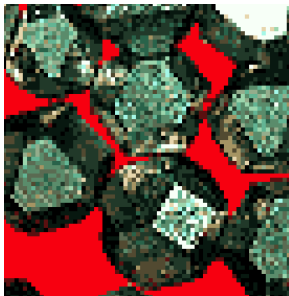
Data from Table B.1, Callister & Rethwisch, 4e.



Single Crystals

- When the periodic arrangement of atoms (crystal structure) extends without interruption throughout the entire specimen.

-- diamond single crystals for abrasives



(Courtesy Martin Deakins, GE Superabrasives, Worthington, OH. Used with permission.)

-- Quartz single crystal



Courtesy P.M. Anderson

-- single crystal for turbine blade



Fig. 9.42(c), *Callister & Rethwisch 5e.*

(courtesy of Pratt and Whitney) Chapter 3 - 38



Polycrystalline Materials

- Most engineering materials are composed of many small, single crystals (i.e., are *polycrystalline*).

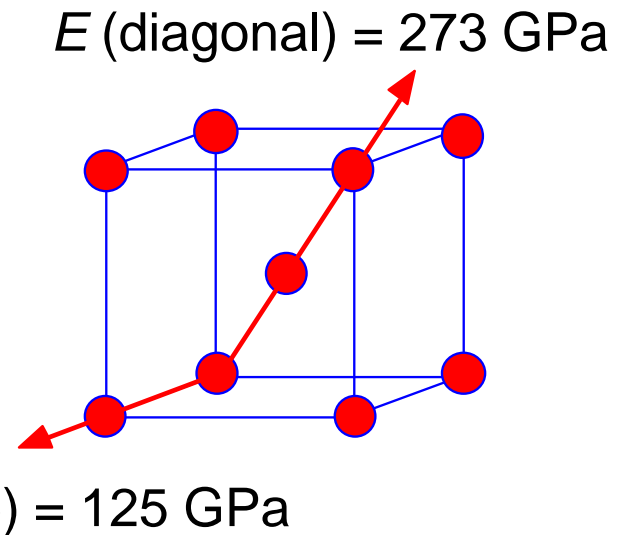


- Nb-Hf-W plate with an electron beam weld.
- Each "grain" is a single crystal.
- Grain sizes typically range from 1 nm to 2 cm (i.e., from a few to millions of atomic layers).

Anisotropy

- Anisotropy — Property value depends on crystallographic direction of measurement.
 - Observed in single crystals.
 - Example: modulus of elasticity (E) in BCC iron

$$E(\text{edge}) \neq E(\text{diagonal})$$



Unit cell of BCC iron

Isotropy

- Polycrystals
 - Properties may/may not vary with direction.
 - If grains randomly oriented: properties
($E_{\text{poly iron}} = 210 \text{ GPa}$)
 - If grains **textured** (e.g., deformed grains have preferential crystallographic orientation): properties

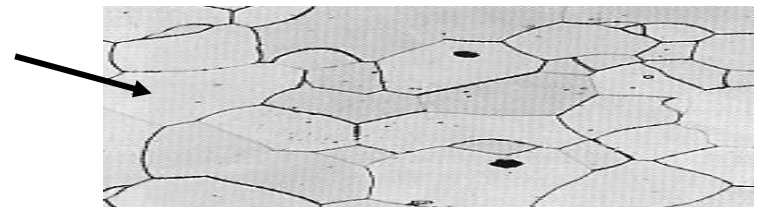
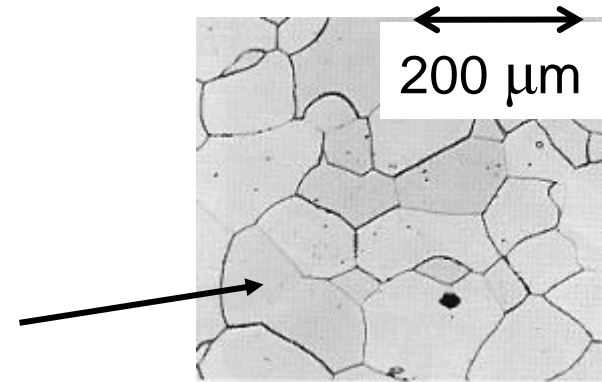


Fig. 5.20(b), *Callister & Rethwisch 5e*.

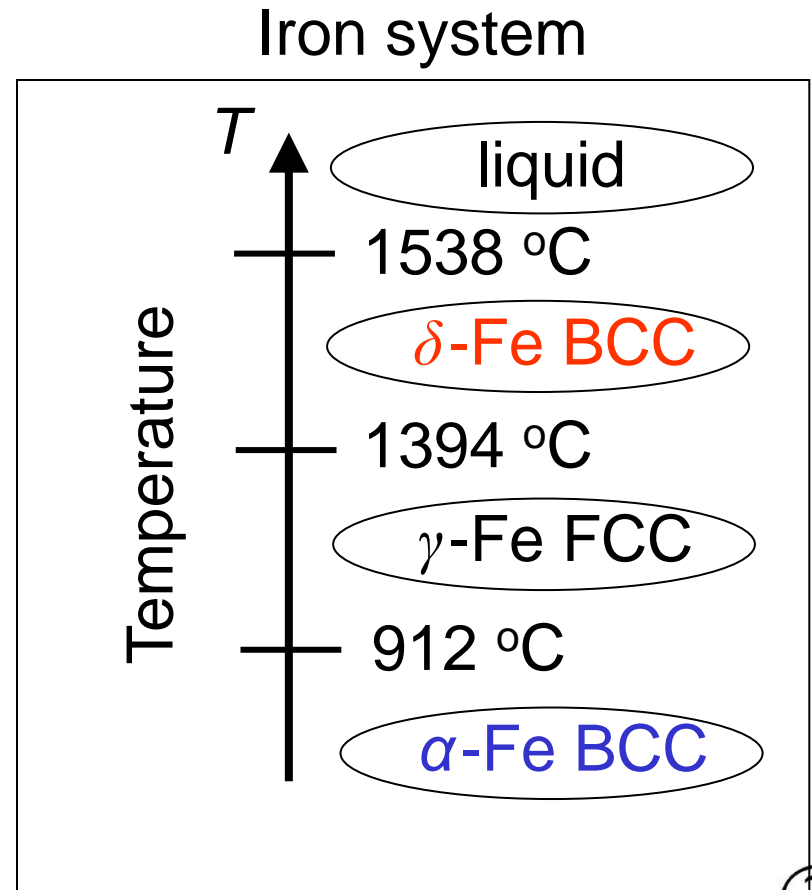
[Fig. 4.15(b) is courtesy of L.C. Smith and C. Brady, the National Bureau of Standards, Washington, DC (now the National Institute of Standards and Technology, Gaithersburg, MD).]

Polymorphism/Allotropy

- Two or more distinct crystal structures for the same material (allotropy/polymorphism)

Titanium: α or β forms

Carbon:
diamond, graphite



Polymorphic Forms of Carbon

Diamond

- tetrahedral bonding of carbon
 - hardest material known
 - very high thermal conductivity
- large single crystals – gem stones
- small crystals – used to grind/cut other materials
- diamond thin films
 - hard surface coatings – used for cutting tools, medical devices, etc.

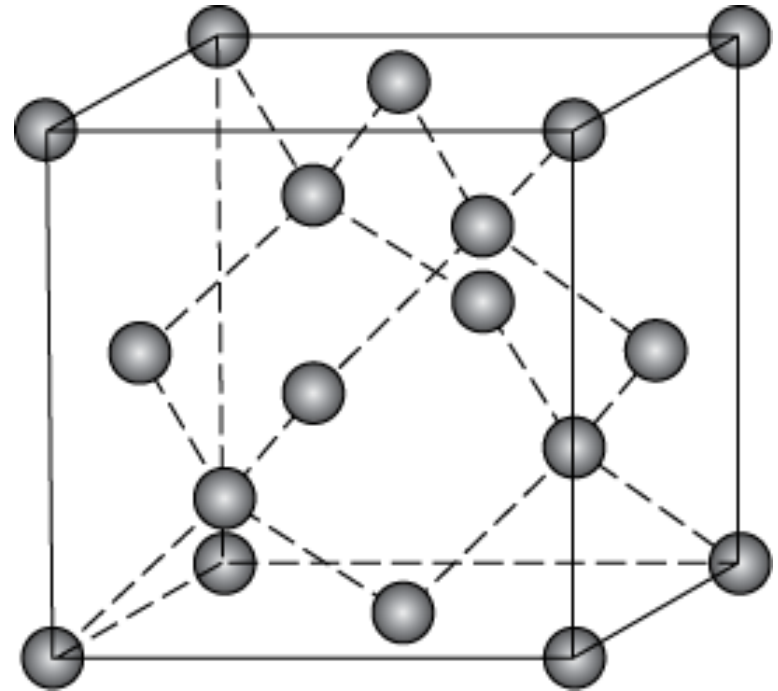


Fig. 3.17, Callister & Rethwisch 5e.

Polymorphic Forms of Carbon (cont)

Graphite

- layered structure – parallel hexagonal arrays of carbon atoms

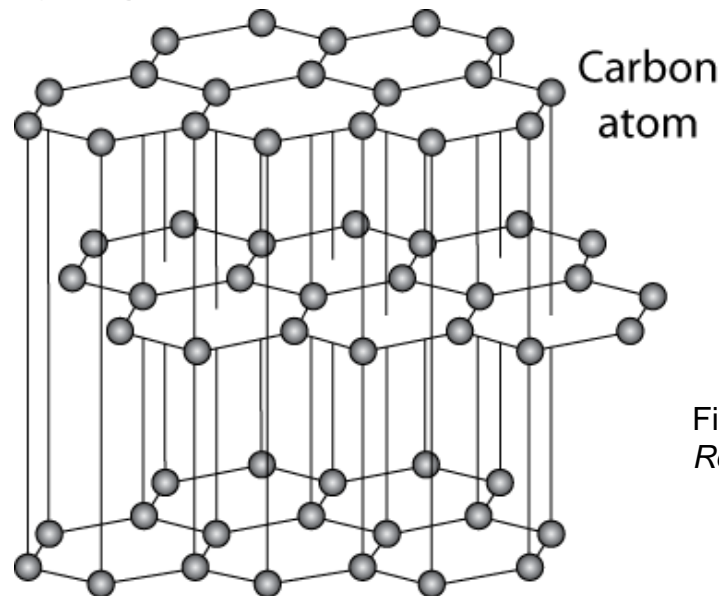


Fig. 3.18, *Callister & Rethwisch 5e.*

- weak van der Waal's forces between layers
- planes slide easily over one another -- good lubricant